



September 30, 2026

Re: Annual MSRB Rule G-10 Notice

Dear Valued Client:

MSRB Rule G-10 requires Peak Brokerage Services to provide the following information each year to customers who purchased, sold, or hold municipal securities, including 529 college savings plans. You may receive this notice even if you do not hold municipal securities.

1. Peak Brokerage Services is registered with the U.S. Securities and Exchange Commission (SEC) and the Municipal Securities Rulemaking Board (MSRB).
2. An investor brochure is available on the MSRB website at www.msrb.org. The brochure describes the protections that may be provided by MSRB rules and how to file a complaint with an appropriate regulatory authority.

If you have questions, please contact your financial professional or the Peak Brokerage Services Compliance Department at [Compliance email / phone].

Sincerely,

Compliance Department
Peak Brokerage Services