

Peak Technology Packages



Peak has teamed up with leading edge technology companies to provide you with a complete set of tools to help you manage your business, maintain compliance, and provide exceptional service to your clients. The following describes components and pricing of each package.

Peak Standard Package	\$ 150 Monthly
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New Business Workflow



Primary Function:

Docupace is where Reps enter new business forms for review and approval. Stores all client forms.

Docupace is the industry's first web-based SEC/FINRA compliant paperless processing system. Docupace's documents management and workflow solution simplifies the process of capturing, organizing, routing and accessing information to make your office more efficient by increasing turn around time and reducing errors.

Commissions



Primary Function:

Jaccomo provides Reps with access to Commission and Tax documents

jCore is a real-time commission reporting system that generates reports on demand or on a predetermined schedule and shared with representatives via a simple link that will provide access to the commission detail.

Email Encryption, Social Media & Web Archiving



Primary Function:

Capture & Review Email and Social Media Content

Erado supports email from virtually all email platforms. It imports email and attachments in near-real time, meaning that users can access their archived messages via Erado Search almost instantly.

Peak Premium Packages

\$ 150 Standard + Optional Programs

Practice Management



Primary Functions:

Performance Reporting
Account/Asset Aggregation
Risk Management
CRM
Financial Planning
Client Portal/Vault

- Advyzon is an all-inclusive, fully integrated practice management solution designed to be Command Central for your practice. It provides the tools you need to run your business on a single platform. The system is fully mobile and is accessible on any connected device.
- The client management tool provides an intuitive interface to manage your client relationships with robust client profile tools that allow for a holistic view of information.
- The financial planning module was designed using the CFP® Board six step process. You can produce client agreements and

investment policy statements.

- With the portfolio management and performance reporting module you can manage client accounts, groups and composites. Advyzon supports lot tracking, gain/loss and performance reporting, and fee billing. You have the ability to produce and distribute firm branded reports to your clients quickly and efficiently via the client portal.
- With data aggregation and business intelligence you can monitor and gain insight into your practice as well as identify trends and utilize alert capability.

Tier	Number of Accounts	Monthly Pricing
1	Up to 300	\$120
2	301 to 450	\$170
3	451 to 600	\$220
<i>* Over 600 clients: Custom pricing based on total number of clients - \$50 for each additional block of 150 accounts</i>		

Brokerage Accounts



Primary Functions:

Clearing Firm
Trading Platform
Financial Planning Software
Market Research
Hardware & Software Discounts

Raymond James, provides a clearing arrangement for the firm and gives you full access to everything you need to serve your clients, from world-class products and innovative technology to reliable real-time support.

(see RJ Value Proposition see for a full listing of programs and discounts)

Pricing: \$125.00 monthly access fee